

# Modera Wealth Quick Facts



## Clients:

Individuals, families, trusts, corporations, endowments, foundations, and charitable organizations.

**4,000+**  
clients

**\$11+ billion**  
managed assets

**\$2.6 million**  
average client AUM

**10.3 years**  
average client tenure

**97%**  
client retention rate

**55%**  
of new revenue in 2022  
came from client referrals

## Client Specialties:

- Business owners
- Divorce
- Doctors and dentists
- Executives
- LGBTQ+
- Multi-generational families
- Special needs
- Surviving spouses

## Services:

- Wealth management  
(*financial planning and investment management*)
- Trust services
- Tax services
- Business retirement services

## Financial Planning Specialties:

- Retirement planning
- Estate planning
- Income tax planning
- Risk analysis

## Investment Management Specialties:

- Mutual funds/ETFs
- Individual equities
- Separately Managed Accounts (SMAs)
- Private investments

## Staff:

**190+** employees

**84 CFP®** practitioners,  
**16 CFA®** charterholders,  
**10 CPA** professionals

**62** employee shareholders  
own over 57% of the firm

**7** years average staff tenure

## Industry Recognition:



Ranked 66 on the *Barron's* list of **Top 100 RIA Firms** in 2022 (up from 75th in 2021).



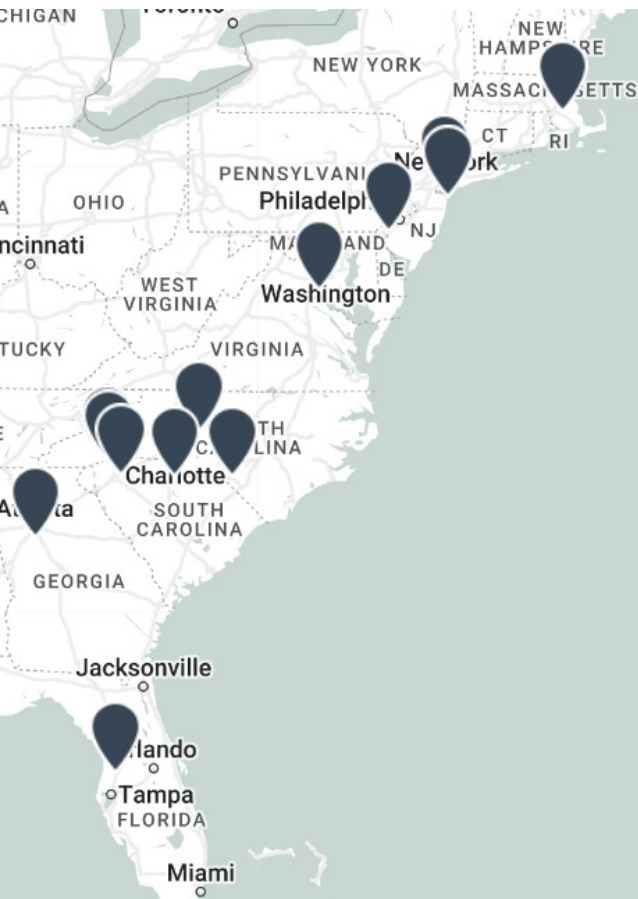
Ranked 68th in *FA Magazine's* **2023 RIA Survey & Ranking**, up from the 75th spot in 2022.



Ranked in *Pensions & Investments Magazine's* **Best Places to Work Award Winners** in Money Management for 7 years in a row (2016 to 2022).

*See the reverse side for the methodologies used for these awards.*

## Locations



### Massachusetts

535 Boylston St  
Suite 300  
Boston, MA 02116  
617-247-0518

### New Jersey

56 Jefferson Ave  
Westwood, NJ 07675  
201-768-4600  
51 Dumont Pl  
Morristown, NJ 07960  
973-605-1100

### New York

19 West 44th St  
Suite 1100  
New York, NY 10036  
917-410-3328

### Pennsylvania

620 Lee Rd  
Suite 100  
Wayne, PA 19087  
610-695-8070  
7540 Windsor Dr  
Suite 205  
Allentown, PA 18195  
610-336-4395

### Virginia

601 Lewinsville Rd  
Suite 210  
McLean, VA 22102  
703-356-4380

### North Carolina

6 Wall St  
Asheville, NC 28801  
828-255-0271  
85 Peachtree Rd  
Asheville, NC 28803  
828-277-7400  
22 Depot St  
Tryon, NC 28782  
828-859-7001  
6101 Carnegie Blvd  
Suite 220  
Charlotte, NC 28209  
704-358-3322  
6700 Fairview Rd  
Suite 360  
Charlotte, NC 28210  
704-334-0894  
100 North Cherry St  
Suite 505  
Winston-Salem, NC 27101  
336-659-0050  
140-B SW Broad St  
Southern Pines, NC 28387  
910-684-8054

### Georgia

5555 Glenridge Con  
Suite 150  
Atlanta, GA 30342  
678-833-1166

### Florida

221 W. Main St  
Suite A  
Inverness, FL 34450  
352-746-4460



Data as of August 17, 2023

This sheet is limited to the dissemination of general information pertaining to Modera's wealth and portfolio management services that may not be suitable for everyone. Modera Wealth Management, LLC ("Modera") is an SEC registered investment adviser. SEC registration does not imply any level of skill or training. Modera may only transact business in those states in which it is notice filed or qualifies for an exemption or exclusion from notice filing requirements. For information pertaining to Modera's registration status, its fees and services please contact Modera or refer to the Investment Adviser Public Disclosure Web site ([adviserinfo.sec.gov](http://adviserinfo.sec.gov)) for a copy of our Disclosure Brochure which appears as Part 2A of Form ADV. Please read the Disclosure Brochure carefully before you invest or send money.

Certified Financial Planner Board of Standards, Inc. (CFP Board) owns the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™, and CFP® (with plaque design) in the United States, which it authorizes use of by individuals who successfully complete CFP Board's initial and ongoing certification requirements.

\*Third party rankings and recognition from ratings services are no guarantee of future investment success. Working with a highly rated adviser does not ensure that a client or prospective client will experience a higher level of performance or results. Ratings should not be considered an endorsement of the adviser by any client nor are they representative of any one client's evaluation. Please also read a more thorough disclosure and additional information about the methodology used in making these rankings:

*Barron's:* Barron's annual ranking of independent advisory companies is based on assets managed by the firms, technology spending, staff diversity, succession planning and other metrics. [barrons.com/advisor/report/top-financial-advisors/ria](http://barrons.com/advisor/report/top-financial-advisors/ria)

*Financial Advisor (FA) Magazine:* FA's RIA survey is a ranking based on assets under management at year end of independent RIA firms that file their own ADV with the SEC. FA's RIA ranking orders firms from largest to smallest, based on AUM reported to it by firms that voluntarily complete and submit FA's survey by its deadline. FA does its best to verify AUM by reviewing ADV forms. To be eligible for the ranking, firms must be independent registered investment advisors and file their own ADV statement with the SEC and provide financial planning and related services to individual clients. Firms must have at least \$500 million in assets under management as of December 31, 2022 to be included in the print edition of Financial Advisor magazine's 2023 RIA survey. Firms with under \$500 million will be included in the FA's expanded online RIA survey.

*P&I:* Pensions & Investments partnered with Best Companies Group, an independent research firm specializing in identifying great places to work, to conduct a two-part survey of employers and their employees. The firm evaluated the policies, practices, philosophy, systems and demographics of each nominated company, which accounted for 25% of the total evaluation. The second part of the survey measured the employee experience and was worth 75% of the evaluation. The combined scores determined the companies receiving the award.