

Modera Wealth Quick Facts



Clients:*

Individuals, families, trusts, corporations, endowments, foundations, and charitable organizations.

5,700+
clients

\$12.5+ billion
managed assets

\$2.1 million
average client AUM

10.5 years
average client tenure

96%
client retention rate

60%
of new revenue in 2023
came from client referrals

Client Specialties:

- Multi-generational families
- Doctors and Dentists
- Business Owners
- Executives
- Retirees
- Women
- Divorce
- LGBTQ+
- Surviving spouses
- Special needs

Services:

- ➔ Wealth management
(*financial planning and investment management*)
- ➔ Trust services
- ➔ Tax services
- ➔ Business retirement services

Financial Planning Specialties:

- ➔ Retirement planning
- ➔ Estate planning
- ➔ Income tax planning
- ➔ Risk analysis

Investment Management Specialties:

- ➔ Mutual funds/ETFs
- ➔ Individual equities
- ➔ Separately Managed Accounts (SMAs)
- ➔ Private investments

Staff:**

204 employees

82 CFP® practitioners
17 CFA® charterholders
10 CPA professionals

61 employee shareholders
own over 59% of the firm

7 years average staff tenure

Industry Recognition:

Ranked 69th on the *Barron's* list of **Top 100 RIA Firms** in 2023, this is the fourth consecutive year we have ranked (up from 96th in 2020).



Ranked in *USA Today's* Inaugural List of "**Best Financial Advisory Firms**" of 2023."



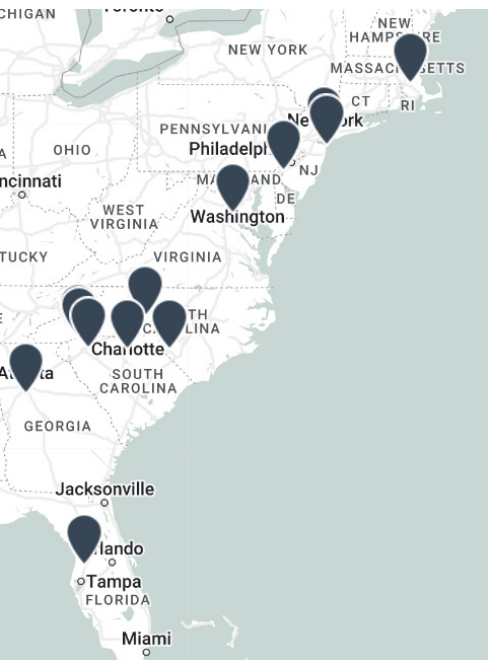
Ranked 68th in *FA Magazine's* **2023 RIA Survey & Ranking**, up from the 75th spot in 2022.



Ranked in *Pensions & Investments Magazine's* **Best Places to Work Award Winners** in Money Management for 8 years in a row (2016 to 2023).



See the reverse side for the methodologies used for these awards.



Locations:

Massachusetts

535 Boylston St., Suite 300. Boston, MA 02116
617-247-0518

New Jersey

56 Jefferson Ave., Westwood, NJ 07675
201-768-4600
139 South St., Suite 204, New Providence, NJ 07974
973-605-1100

New York

19 West 44th St., Suite 1100, New York, NY 10036
917-410-3328

Pennsylvania

620 Lee Rd., Suite 100, Wayne, PA 19087
610-695-8070
7540 Windsor Dr., Suite 205, Allentown, PA 18195
610-336-4395

Virginia

7601 Lewinsville Rd., Suite 210, McLean, VA 22102
703-356-4380

North Carolina

6 Wall St., Asheville, NC 28801
828-255-0271
85 Peachtree Rd., Asheville, NC 28803
828-277-7400
22 Depot St., Tryon, NC 28782
828-859-7001
6101 Carnegie Blvd., Suite 220, Charlotte, NC 28209
704-358-3322
6700 Fairview Rd., Suite 360, Charlotte, NC 28210
704-334-0894
100 North Cherry St., Suite 505, Winston-Salem, NC 27101
336-659-0050
140-B SW Broad St., Southern Pines, NC 28387
910-684-8054

Georgia

5555 Glenridge Con., Suite 150, Atlanta, GA 30342
678-833-1166

Florida

221 W. Main St., Suite A, Inverness, FL 34450
352-746-4460



*Data as of December 31, 2023

**Data as of April 1, 2024

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Third party rankings and recognition from ratings services are no guarantee of future investment success. Working with a highly rated adviser does not ensure that a client or prospective client will experience a higher level of performance or results. Ratings should not be considered an endorsement of the adviser by any client nor are they representative of any one client's evaluation. Please also read a more thorough disclosure and additional information about the methodology used in making these rankings:

Barron's: Barron's annual ranking of independent advisory companies is based on assets managed by the firms, technology spending, staff diversity, succession planning and other metrics. [barrons.com/advisor/report/top-financial-advisors/ria](https://www.barrons.com/advisor/report/top-financial-advisors/ria)

Financial Advisor (FA) Magazine: FA's RIA survey is a ranking based on assets under management at year end of independent RIA firms that file their own ADV with the SEC. FA's RIA ranking orders firms from largest to smallest, based on AUM reported to it by firms that voluntarily complete and submit FA's survey by its deadline. FA does its best to verify AUM by reviewing ADV forms. To be eligible for the ranking, firms must be independent registered investment advisors and file their own ADV statement with the SEC and provide financial planning and related services to individual clients. Firms must have at least \$500 million in assets under management as of December 31, 2022 to be included in the print edition of Financial Advisor magazine's 2023 RIA survey. Firms with under \$500 million will be included in the FA's expanded online RIA survey.

P&I: Pensions & Investments partnered with Best Companies Group, an independent research firm specializing in identifying great places to work, to conduct a two-part survey of employers and their employees. The firm evaluated the policies, practices, philosophy, systems and demographics of each nominated company, which accounted for 25% of the total evaluation. The second part of the survey measured the employee experience and was worth 75% of the evaluation. The combined scores determined the companies receiving the award.

USA Today's Best Financial Advisory Firm Ranking: For the first time, USA TODAY and Statista are awarding the "Best Financial Advisory Firms" in the US. The ranking of the best RIA firms is based on two dimensions: recommendations by clients and peers and a firm's growth of assets under management (AUM). Research approach: The recommendations were collected via an independent survey sent to over 20,000 individuals. While clients were able to evaluate and recommend their RIA firm, financial advisors working for an RIA firm were asked to consider a scenario where their own firm could not take on a client and had to recommend another RIA firm they appreciate. The development of AUM was analyzed both, short and long term based on publicly available data. For the short term view, the growth of AUM over a twelve months period was calculated, from January 2022 to January 2023. For the long term view, the growth of AUM over a five year period was calculated, from 2018 2023. In the consideration for the top 500 RIA firms, recommendations had a weight of 20% while development of AUM had a weight of 80% (short term and long term growth were equally weighted) to derive the final score. To be recognized as one of USA TODAY's Best Financial Advisory Firms the following requirements had to be met: 1. The firm is registered as an RIA firm with the SEC or at state level for at least one year 2. The firm has a principal office in the United States 3. The firm has a clean disciplinary record 4. The firm offers financial planning services or portfolio management for individuals and/or small businesses 5. The firm's AUM must be greater than \$500,000 6. The firm achieved an overall score among the top 500 For further information on the methodology: <https://spaces.statista.com/73a7679c2cb44b096d6bd1c3319d26e.pdf>