MODERA
WEALTH MANAGEMENT

Custodians – 2016 Tax-Year Reports – Mailing Schedule

The custodians have provided us with the estimated mailing dates below for your 1099s and other tax-related documents. Please note that the dates are estimates and that actual mailing dates may vary. In addition, custodians may send corrected Form 1099s, which should be forwarded to your tax preparer upon receipt.

Charles Schwab

- February 1 – February 15: 1099s for which Schwab has all the necessary tax information.
- February 15 – February 28: 1099s for accounts that contain at least one investment for which the issuer won't be able to provide tax information in time for prior mailing.
- Starting February 28: Corrected Forms1099, if necessary.

TD Ameritrade Institutional:

- 1099s will be posted online on the following dates. Clients will receive an email notification when their 1099s are available. The tax forms will also be mailed to clients 5-7 days after they are posted online.
    - January 20
    - February 7
    - February 15
    - Correction cycle begins February 22

Fidelity Investments

- January 7 – 1099R forms available online and mailed to clients.
- January 28 – 1099s for brokerage accounts holding Fidelity funds, non-Fidelity funds, and securities with income reclassifications.
- February 18 – 1099s for brokerage accounts holding options, equities and fixed income.
- March 4 – 1099s for brokerage accounts holding late issued reclassifications (e.g., unit investment trusts, REIT, and mortgage backed securities).
- March 10th – 1099s for accounts that contain at least one investment for which the issuer won't be able to provide tax information in time for prior mailing.
- Corrected 1099s will be sent as they are available.

National Advisors Trust Company:

- End of January – 1099Rs mailed
- Mid-February – 1st Mailing
- End of February – 2nd Mailing
- March 15 – Corrections issued, when necessary

Please do not hesitate to contact your wealth manager if you have any questions.