MODERA
WEALTH MANAGEMENT

Custodians – Tax Reports – Mailing Schedule

The custodians have provided us with the estimated mailing dates below for your 1099s and other tax related documents. Please note that the dates are estimates and that actual mailing dates may vary. In addition, custodians may send corrected form 1099s, which should be forwarded to your tax preparer upon receipt.

Charles Schwab

- Early February – Form 1099s for which Schwab has all the necessary tax information
- Late February – Form 1099s for accounts that contain at least one investment for which the issuer won't be able to provide tax information in time for prior mailing
- Early March – Corrected Form 1099

TD Ameritrade Institutional:

- 1099s will be posted online on the following dates, and the client will receive an email alert notifying them. The 1099s will also be mailed to clients 5-7 days after they receive an email.
    - January 22nd
    - February 9th
    - February 16th
    - Corrections will be issued in late February to early April

Fidelity Investments

- January 28th – Accounts holding Fidelity Funds, non-Fidelity funds, and securities with income reclassifications
- February 4th – Mutual Funds and Retirement Accounts
- February 14th – Brokerage accounts holding Fidelity Funds, non-Fidelity funds, and securities with income reclassifications
- February 28th – Brokerage accounts holding late issued reclassifications (e.g., Unit Investment Trusts, REIT)
- March 14th – All material delayed from previous mailings and corrected 1099s.

National Advisors Trust Company:

- Mid-February (1st Mailing)
- End of February (2nd Mailing)
- Mid-March – Corrections issued (when necessary)

Please do not hesitate to reach out to your wealth manager if you have any questions.

Westwood, New Jersey • Boston, Massachusetts • Hernando, Florida • Atlanta, Georgia